# A moment projection method for population balance dynamics with a shrinkage term 

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## Highlights

- A moment projection method for solving particle population balance equations is developed
- A Blumstein-Wheeler algorithm is adopted in this method to track the number of the smallest particles
- This method is tested for modelling particle shrinkage process and showed high accuracy
- The method developed has the advantages of ease of implementation and numerical robustness


#### Abstract

A new method of moments for solving the population balance equation is developed and presented. The moment projection method (MPM) is numerically simple and easy to implement and attempts to address the challenge of particle shrinkage due to processes such as oxidation, evaporation or dilution. It directly solves the moment transport equation for the moments and tracks the number of the smallest particles using the algorithm by Blumstein and Wheeler [Phys. Rev. B, 8:1764-1776, 1973]. The performance of the new method is measured against the method of moments (MOM) and the hybrid method of moments (HMOM). The results suggest that MPM performs much better than MOM and HMOM where shrinkage is dominant. The new method predicts mean quantities which are almost as accurate as a high-precision stochastic method calculated using the established direct simulation algorithm (DSA).




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## 1 Introduction

Population balance equations (PBEs) have received considerable interest in the chemical engineering field due to its wide ranging applications from soot formation in combustion [34] to crystallisation [33]. The PBE describes the evolution of a particle size distribution (PSD) that is dependent on time, spatial location and a set of internal coordinates which characterise particle properties (e.g., surface area, volume and chemical composition) [3, 32, 35, 36]. A typical PBE contains an inception term describing the formation of particles from the surrounding fluid, a coagulation term due to the collision and sticking of particles, a growth term due to surface reaction and condensation on individual particles, and a shrinkage term due to oxidation, evaporation or dilution. In mathematics, PBEs are a series of integro-differential equations which are often so complex that analytical solutions rarely exist [24].
A number of methods have been proposed to solve these types of equations [16, 21, 31]. Balthasar and Kraft [5] developed a stochastic method to solve the PBE describing the evolution of soot particles in laminar premixed flames. Soot particles are represented by an ensemble of stochastic particles and particle processes are treated probabilistically [5, 41]. The simulations can be proven to converge to the deterministic solution of the PBE [10]. However, the simulations can be prohibitively expensive when extended to particles with multidimensional internal coordinates [8, 43]. In sectional methods, the PSD is discretised into a number of bins, or sections. The PBE is then transformed into a set of ordinary differential equations (ODEs) describing the evolution of quantities such as the mass and number of particles within each bin. Many of the proposed methods are limited to specific grids or to specific forms of the PBE. Kumar and Ramkrishna [22] developed a fixed pivot method which is able to evolve any two arbitrary distribution properties by representing the PSD as a delta function within each bin [9, 13, 22, 23, 39]. The moving pivot approach [22], which is an extension of the fixed pivot method, takes the pivot as the location of the delta function within each bin. When the PSD is heavily weighted towards one end of some of the bins, the moving pivot approach is more accurate than the fixed pivot approach. Recently, Alopaeus et al. [4] extended the traditional sectional method $[17,18]$ to conserve more than two moments in the discretised solution of the PBE using a high-order method. Similar to stochastic methods, sectional methods are intuitive and accurate. However, a large number of bins may be required to obtain good accuracy which can make the method computationally expensive [27].

For PBEs with only one or two internal coordinates the method of moments (MOM) is widely used because of the low computational cost $[1,2,6,19,38]$. The PBE is multiplied by property functions, e.g., integer powers of the internal coordinates, and integrated over state space. The resulting ODEs are then solved to yield integral quantities such as total particle number and mass. Depending on the coagulation kernel used the moment transport equations may not be closed, i.e., presence of fractional- or negative-order moments. In general, there are two ways to close the equations: (1) create a functional relationship between unknown moments and transported moments such as in the method of moments with interpolative closure (MOMIC) [3, 11, 12]; or (2) reconstruct the PSD from the transported moments and approximate the unclosed terms using Gauss quadrature such as in the quadrature method of moments ( QMOM ) or direct quadrature method of moments
(DQMOM) [1, 2, 25-28]. MOMIC has been widely used due to its numerical simplicity and ease of implementation while being reasonably accurate in dealing with inception, coagulation and growth processes [11]. Silva et al. [37] found that the solution obtained using QMOM and DQMOM showed an excellent agreement with the analytical solution for aggregation and breakage problems. A review of the models of particle formation and the numerical methods used to solve them can be found in ref. [20].

However, MOMIC, QMOM and DQMOM all fail in the treatment of shrinkage problems, where the pointwise value of the PSD at the smallest particle mass is required to close the moment equations [24, 40, 44]. Mueller et al. [30] tried to address this problem by introducing a source term for the smallest particles in what is known as the hybrid method of moment (HMOM). HMOM adopts the idea of DQMOM where the PSD is discretised into small and large particles and the production of the smallest particle is assumed to be proportional to the mass lost from the large particles. However, as we will show later, this assumption is too coarse and can overestimate the production of the smallest particles. Strumendo and Arastoopour [40] proposed a finite-size domain complete set of trial functions method of moments (FCMOM) that uses a series of Legendre polynomials to obtain a continuous reconstruction of the PSD, thus generating information about the smallest particles. However, this approach cannot guarantee the positivity of the reconstructed PSD because only a finite number of polynomials can be determined [44]. An alternative method is the extended quadrature method of moments (EQMOM) proposed by Yuan et al. [44] where the PSD is approximated by continuous non-negative kernel density functions, e.g., gamma, beta or lognormal functions. High accuracy can be achieved in terms of the reconstructed PSD. Information about the shape of the PSD is needed a priori to select a suitable kernel density function; otherwise, a large number of kernel functions are required which can make this method excessively complicated and computationally expensive.

The purpose of this paper is to present a new method, the moment projection method (MPM), which is able to robustly handle the shrinkage of particles while retaining numerical simplicity. The paper is organized as follow. Section 2 presents moment methods for solving the population balance equation. The detailed mathematical formulation of MPM and related algorithms are introduced. In Section 3, MPM is compared with MOM, HMOM and the stochastic method for the processes of inception, coagulation, growth and shrinkage. In Section 4 principal conclusions are summarised.

## 2 Moment methods for solving the population balance equation

### 2.1 Population balance equation

We consider a spatially homogeneous problem with a discrete-mass distribution where the smallest particles have a mass of $m_{1}$ and particles in the mass class $i$ have a mass of
$m_{i}=i m_{1}$ [12]. The PBE governing the evolution of the distribution can be written as:

$$
\begin{equation*}
\frac{\mathrm{d} N(i, t)}{\mathrm{d} t}=R(i, t)+G(i, t)+W(i, t)+S(i, t), \quad i=1,2, \ldots, \infty \tag{1}
\end{equation*}
$$

where $N(i, t)$ is the number of particles belonging to the mass class $i$ at time $t$ (which we will refer to as $N_{i}$ from hereon), and $R, G, W$ and $S$ are the inception, coagulation, surface growth and shrinkage terms, respectively, the notation consistent with ref. [11]. This is known as a particle number representation of the PSD. The specific functional forms of the source terms used in this work will be discussed in Section 3.

### 2.2 Moment equation

As already mentioned before, an efficient approach for solving the PBE is MOM where the PBE is transformed into a set of moment equations and integral values such as the total particle number and mass can be computed. This is achieved by applying the definition, moment of order $k$ of the PSD

$$
\begin{equation*}
M_{k}=\sum_{i=1}^{\infty} i^{k} N_{i}, \quad k=0,1,2, \ldots \tag{2}
\end{equation*}
$$

to Eq. (1), leading to:

$$
\begin{equation*}
\frac{\mathrm{d} M_{k}}{\mathrm{~d} t}=R_{k}(M)+G_{k}(M)+W_{k}(M)+S_{k}\left(M, N_{1}\right) \tag{3}
\end{equation*}
$$

Note that the source terms on the right-hand side of Eq. (3) are now a function of moments; in addition, the shrinkage term is a function of the number of the smallest particle, $N_{1}$. When the source terms contain complex kernels, fractional- or negative-order moments are encountered [6]. Therefore, the mathematical difficulty of MOM lies in obtaining closure for these moment source terms using a finite set of moments. This requires either a priori specification of the PSD or a suitable closure scheme. In MOMIC [11], closure is accomplished by Lagrange polynomial interpolation of the logarithm of the whole-order moments whose values are available at each integration step of Eq. (3). By separating interpolation for positive- and negative-order moments, MOMIC shows very high accuracy in the treatment of unimodal PSDs undergoing coagulation and growth and satisfactory accuracy for bimodal PSDs formed from the competition between persistent inception and coagulation [29]. Another type of closure scheme uses Gauss quadratures such as in QMOM where the PSD is represented by a weighted summation of Dirac delta functions [28]. The general form of the moment equation in QMOM can be written as:

$$
\begin{equation*}
\frac{\mathrm{d} \widetilde{M}_{k}}{\mathrm{~d} t}=R_{k}\left(w_{j}, i_{j}\right)+G_{k}\left(w_{j}, i_{j}\right)+W_{k}\left(w_{j}, i_{j}\right), \quad j=1, \ldots, N \tag{4}
\end{equation*}
$$

where $w_{j}$ and $i_{j}$, respectively, are the weights and abscissas of the delta functions which can be derived from the moments using the product difference (PD) algorithm [14]. $N$ is
the number of delta functions. $\widetilde{M}$ is the empirical moment determined from the product of $w_{j}$ and $i_{j}$ and, therefore, is an approximation of $M$ of the true PSD. We use the symbol " $\sim$ " to express approximations of the particle quantities of Eqs. (2) and (3). DQMOM is similar to QMOM except that in DQMOM transport equations for $w_{j}$ and $i_{j}$ are directly solved:

$$
\begin{align*}
\frac{\mathrm{d} w_{j}}{\mathrm{~d} t} & =R_{k}\left(w_{j}, i_{j}\right)+G_{k}\left(w_{j}, i_{j}\right)+W_{k}\left(w_{j}, i_{j}\right)  \tag{5}\\
\frac{\mathrm{d} i_{j}}{\mathrm{~d} t} & =R_{k}\left(w_{j}, i_{j}\right)+G_{k}\left(w_{j}, i_{j}\right)+W_{k}\left(w_{j}, i_{j}\right) .
\end{align*}
$$

Note the absence of a shrinkage source term as both of these methods are unable to handle shrinkage. Although DQMOM is superior to QMOM in terms of computational efficiency [37], to determine the source terms for $w_{j}$ and $i_{j}$, inversion of a matrix composed of the abscissas is required. When some of the abscissas are not distinct the matrix may exhibit singularity problems, i.e., the rank of the matrix is lower than its dimension, thus making its inversion impossible [25]. This implies that not all of the delta functions are required to represent the PSD. This situation arises, for example, when the PSD is unimodal; all the delta functions would be located at the same position associated with the mode of the distribution. This has been addressed by adding small perturbations to the non-distinct abscissas [25]. Another important case is when the PSD is generated from an inception process; at the first time step $w_{j}$ and $i_{j}$ would be undefined. To overcome this problem "seeds" have been introduced with negligibly small weights and abscissas which did not lead to any discernable difference in the moments [25].

### 2.3 Moment projection method

In MPM, we approximate the true PSD by assuming that all particles are distributed into a finite number of particle mass classes. The $k$-th order empirical moment can then be expressed as:

$$
\begin{equation*}
\widetilde{M}_{k}=\alpha_{1}^{k} \widetilde{N}_{\alpha_{1}}+\sum_{j=2}^{N_{\mathrm{p}}} \alpha_{j}^{k} \widetilde{N}_{\alpha_{j}}, \quad k=0, \ldots, 2 N_{\mathrm{p}}-2 \tag{6}
\end{equation*}
$$

where $\alpha_{j}$ is the particle mass, $\widetilde{N}_{\alpha_{j}}$ refers to the number of particles of the mass $\alpha_{j}$, and $N_{\mathrm{p}}$ is the number of particle masses. Mathematically, $\alpha_{j}$ and $\widetilde{N}_{\alpha_{j}}$ can be interpreted as the particle number representation of $i_{j}$ and $w_{j}$ in QMOM and DQMOM. MPM uses $\alpha_{j}$ and $\widetilde{N}_{\alpha_{j}}$ as an assumption of the form of the PSD itself, in a similar vein to the fixed pivot method [22]. By construction, the particle masses and particle number calculated by the MPM ensure that the corresponding moments are always equal to those from the true PSD:

$$
\begin{equation*}
\widetilde{M}_{k}=M_{k}, \quad k=0, \ldots, 2 N_{\mathrm{p}}-2 . \tag{7}
\end{equation*}
$$

From Eq. (3), it follows that:

$$
\begin{equation*}
\frac{\mathrm{d} \widetilde{M}_{k}}{\mathrm{~d} t}=R_{k}(\widetilde{M})+G_{k}(\widetilde{M})+W_{k}(\widetilde{M})+S_{k}\left(\widetilde{M}, N_{1}\right) \tag{8}
\end{equation*}
$$

In order to evaluate the boundary flux $\left(N_{1}\right)$ present in the shrinkage term, we fix the first particle mass to be equal to the smallest particle mass of the true PSD: $\alpha_{1}=m_{1}$. Therefore, $\widetilde{N}_{\alpha_{1}}$, the number of particles of the mass $\alpha_{1}$, reflects the number of the smallest particles of the true PSD. The moment transport equations in MPM can then be given as:

$$
\begin{equation*}
\frac{\mathrm{d} \widetilde{M}_{k}}{\mathrm{~d} t}=R_{k}(\widetilde{M})+G_{k}(\widetilde{M})+W_{k}(\widetilde{M})+S_{k}\left(\widetilde{M}, \widetilde{N}_{\alpha_{1}}\right) \tag{9}
\end{equation*}
$$

Comparing Eq. (9) with Eqs. (4) and (5), there are two important differences. First, the source terms for $\widetilde{M}_{k}$ are directly evaluated using the moment transport equation; there is not a need to evaluate integrals of the source terms which involve the unknown PSD and are approximated using Gaussian quadrature in QMOM and DQMOM. Second, by tracking the number of the smallest particles, MPM is able to handle shrinkage problems. The problem now lies in determining $\alpha_{j}$ and $\widetilde{N}_{\alpha_{j}}$ while ensuring that $\alpha_{1}=m_{1}$ (see Eq. (6)). This can be achieved by using the algorithm by Blumstein and Wheeler [7] which was originally applied to the moments of the frequency distribution of harmonic solids. A real symmetric tridiagonal matrix is constructed from a series of recursion coefficients of orthogonal polynomials composed of moments [15, 42]. $\alpha_{j}$ and $\widetilde{N}_{\alpha_{j}}$ can be determined by solving for the eigenvalues and eigenvectors of the matrix. As for the requirement that $\alpha_{1}$ be fixed to be equal to $m_{1}$, this can be fulfilled simply by modifying the last recursion coefficient of the tridiagonal matrix using $m_{1}$. The full algorithm can be found in Appendix A. Algorithm 1 describes the numerical procedure of MPM. Note that if the initial PSD is simple (e.g., unimodal or bimodal), not all the particle masses are required to reproduce the PSD so "seeds" with a small $\widetilde{N}_{\alpha_{j}}$ would be introduced.

Input: Moments of the PSD $M_{k}\left(t_{0}\right)$ for $k=0, \ldots, 2 N_{\mathrm{p}}-2$ or the PSD itself $N\left(i, t_{0}\right)$ for $i=1, \ldots, \infty$ at initial time $t_{0}$; final time $t_{\mathrm{f}}$.
Output: Empirical moments of the PSD $\widetilde{M}_{k}\left(t_{\mathrm{f}}\right)$ for $k=0, \ldots, 2 N_{\mathrm{p}}-2$ at final time $t_{\mathrm{f}}$ where $N_{\mathrm{p}}$ is the number of particle masses used to approximate the PSD.
Calculate the moments of the true PSD using Eq. 2:

$$
M_{k}\left(t_{0}\right)=\sum_{i=1}^{\infty} i^{k} N\left(i, t_{0}\right), \quad k=0, \ldots, 2 N_{\mathrm{p}}-2 .
$$

For $\widetilde{M}_{k}=M_{k}$, solve Eq. 6 for $\widetilde{N}_{\alpha_{1}}\left(\alpha_{1}\right.$ is fixed $)$ and $\alpha_{j}$ and $\widetilde{N}_{\alpha_{j}}\left(j=2, \ldots, N_{\mathrm{p}}\right)$ using
Algorithm 2:

$$
\widetilde{M}_{k}\left(t_{0}\right)=\alpha_{1}^{k} \widetilde{N}_{\alpha_{1}}\left(t_{0}\right)+\sum_{j=2}^{N_{\mathrm{p}}} \alpha_{j}^{k} \tilde{N}_{\alpha_{j}}\left(t_{0}\right), \quad k=0, \ldots, 2 N_{\mathrm{p}}-2
$$

$t \longleftarrow t_{0}, \widetilde{M}_{k}(t) \longleftarrow \widetilde{M}_{k}\left(t_{0}\right) ;$
while $t<t_{f}$ do
Integrate Eq. 9 over the time interval $\left[t_{i}, t_{i}+h\right]$ (using an ODE solver):

$$
\frac{\mathrm{d} \widetilde{M}_{k}}{\mathrm{~d} t}=R_{k}(\widetilde{M})+G_{k}(\widetilde{M})+W_{k}(\widetilde{M})+S_{k}\left(\widetilde{M}, \widetilde{N}_{\alpha_{1}}\right)
$$

with initial condition:

$$
\binom{\widetilde{M}_{k}\left(t_{i}\right)}{\widetilde{N}_{\alpha_{1}}\left(t_{i}\right)}=\binom{\widetilde{M}_{k, i}}{\widetilde{N}_{\alpha_{1}, i}},
$$

where $R_{k}(\widetilde{M}), G_{k}(\widetilde{M}), W_{k}(\widetilde{M})$ and $S_{k}\left(\widetilde{M}, \widetilde{N}_{\alpha_{1}}\right)$ are given by Eqs. (11), (14), (16) and (18), respectively.

Use Blumstein algorithm to update $\alpha_{j}$ and $\widetilde{N}_{\alpha_{j}}$, and assign solution at $t_{i+1}=t_{i}+h$ :

$$
\binom{\widetilde{M}_{k, i+1}}{\widetilde{N}_{\alpha_{1}, i+1}} \leftarrow\binom{\widetilde{M}_{k}\left(t_{i}+h\right)}{\widetilde{N}_{\alpha_{1}}\left(t_{i}+h\right)} .
$$

$i \longleftarrow i+1 ;$
end
Algorithm 1: Moment projection method algorithm.

## 3 Numerical results

To assess the performance of MPM, numerical results are compared to those from MOM, HMOM and the stochastic method. We test the method for the individual processes of inception, coagulation, growth and shrinkage, then for all of these processes combined. As the focus of this paper is on MPM's ability to handle shrinkage, we devise a number of test cases where different types of PSDs are supplied as the initial condition and present the errors in the moments relative to a high-precision stochastic solution calculated using the direct simulation algorithm (DSA) [10]. HMOM was originally developed for bivariate

PBEs [29, 30]. We modify this method to make it applicable for monovariate PBEs. Pertinent details of the method can be found in Appendix B.
In this work constant kernels are used. The use of more realistic Brownian collision kernels would lead to a closure problem due to fractional- and negative-order moments which would appear on the right-hand side of Eq. (9). The way in which MPM is formulated means that these source terms can be closed using MOMIC; however, this introduces an interpolation error. The aim here is to investigate the MPM error in isolation.

### 3.1 Pure inception

Inception is the formation of particles from the surrounding fluid and is a common phenomenon in the chemical engineering field. By definition these particles have the smallest mass $m_{1}$ and is assumed to be equal to 1 . In this work the inception rate is assumed to be:

$$
\begin{equation*}
R(i, t)=I_{m_{1}} \tag{10}
\end{equation*}
$$

where the inception kernel $I_{m_{1}}=100 \mathrm{~s}^{-1}$. The moment source term due to inception can be derived to be:

$$
\begin{equation*}
R_{k}(M)=m_{1}^{k} I_{m_{1}}, \quad k=0, \ldots, 2 N_{\mathrm{p}}-2 \tag{11}
\end{equation*}
$$

It can be seen that the moment source term is only dependant on the smallest particle mass and the inception kernel. Simulations are performed where a log-normal distribution is supplied as the initial condition:

$$
\begin{equation*}
N(i, t=0)=100 \exp \left(-(\log (i)-\log (25))^{2} / 0.05\right), \quad i=1,2, \ldots, 100 \tag{12}
\end{equation*}
$$

which is shown in Fig. 1 (continuous line). Also shown in Fig. 1 (dotted line) is the PSD computed by solving the master equation after 10 seconds of pure inception. It develops a mode at the smallest particles because only particles with the smallest mass are formed. We now want to see whether MPM is able to capture this increase in the number of the smallest particles. The particle masses $\alpha_{j}$ and the corresponding number of particles $\widetilde{N}_{\alpha_{j}}$ from MPM are shown in Fig. 2. Four particles masses ( $N_{\mathrm{p}}=4$ ) are used to approximate the PSD. As $\alpha_{1}$ is fixed to be equal to the smallest particle mass, the particle masses remain unchanged. The number of particles of the smallest mass $\widetilde{N}_{\alpha_{1}}$ does indeed increase (linear because of constant rate) while the other $\widetilde{N}_{\alpha_{j}}(j=2,3,4)$ do not change. As a further point of comparison the zeroth and first moments are compared with those from MOM, HMOM and the stochastic method in Fig. 3. All the methods give the same results. The continuous inception of particles leads to a linear increase in the total number and mass of particles, $M_{0}$ and $M_{1}$, respectively.


Figure 1: Evolution of the PSD computed by solving the master equation under pure inception.


Figure 2: Evolution of the particle masses $\alpha_{j}$ (left panel) and the corresponding number of particles $\widetilde{N}_{\alpha_{j}}$ (right panel) using MPM under pure inception. The PSD at $t=0$ s in Fig. 1 (continuous line) is supplied as the initial condition. A total of four particle masses are used to approximate the PSD.

### 3.2 Pure coagulation

Coagulation is a nonlinear process that describes the collision and sticking of particles. The source term for coagulation considered in this work is of the form:

$$
\begin{equation*}
G(i, t)=\frac{1}{2} \sum_{j=1}^{i} K_{\mathrm{Cg}} N_{j} N_{i-j}-\sum_{j=1}^{\infty} K_{\mathrm{Cg}} N_{i} N_{j} . \tag{13}
\end{equation*}
$$



Figure 3: Comparison of the zeroth moment $M_{0}$ (left panel) and the first moment $M_{1}$ (right panel) between MPM, MOM, HMOM and the stochastic method under pure inception.

The first term on the right-hand side of Eq. (13) refers to the formation of particles of mass $i$ due to collisions between all combinations of particles with masses that sum to $i$. It contains a factor of a $1 / 2$ to avoid double counting. The second term represents the destruction of particles of mass $i$ due to collisions between particles of mass $i$ and particles of any other mass $j$. The coagulation kernel $K_{\mathrm{Cg}}$ is usually dependent on the collision regime and the collision diameter. In this work, this kernel is assumed to be a constant: $K_{\mathrm{Cg}}=2 \times 10^{-4} \mathrm{~s}^{-1}$. The moment source term due to coagulation is:

$$
G_{k}(M)= \begin{cases}-1 / 2 K_{\mathrm{Cg}} M_{0}^{2}, & k=0  \tag{14}\\ 0, & k=1, \\ \frac{1}{2} \sum_{r=1}^{k-1}\binom{k}{r} K_{\mathrm{Cg}} M_{r} M_{k-r}, & k=2, \ldots, 2 N_{\mathrm{p}}-2\end{cases}
$$

The same log-normal distribution in Eq. (12) is supplied as the initial condition and the evolution of the PSD under pure coagulation is shown in Fig. 4. The PSD is computed using the stochastic method because for the given coagulation kernel and simulation time, if the master equation were to be used, particles would rapidly reach the maximum mass class which would introduce errors. Multiple coagulation peaks are formed as particles collide and stick together, and these particles in turn collide and stick, and so forth. Figure 5 shows that $\alpha_{j}(j=2,3,4)$ increase reflecting an increase in the average particle mass. An increase in $\widetilde{N}_{\alpha_{2}}$ is observed at the beginning of the simulation due to the collision and sticking of the smallest particles. The time evolution of $M_{0}$ and $M_{1}$ computed using the different methods are compared in Fig. 6. Since no fractional- or negative-order moments are present in the moment source term, all the methods generate the same results. Coagulation is a nonlinear process, therefore, we observe a nonlinear decrease in $M_{0}$ while $M_{1}$ remains unchanged.


Figure 4: Evolution of the PSD computed using the stochastic method under pure coagulation.


Figure 5: Evolution of the particle masses $\alpha_{j}$ (left panel) and the corresponding number of particles $\widetilde{N}_{\alpha_{j}}$ (right panel) using MPM under pure coagulation. The PSD at $t=0 \mathrm{~s}$ in Fig. 4 (continuous line) is supplied as the initial condition. A total of four particle masses are used to approximate the PSD.

### 3.3 Pure growth

Growth is a process whereby particles increase in mass due to surface reaction or condensation. Here we consider a growth process where its source term is of the form of:

$$
\begin{equation*}
W(i, t)=K_{\mathrm{G}}\left(N_{i-\delta}-N_{i}\right), \tag{15}
\end{equation*}
$$



Figure 6: Comparison of the zeroth moment $M_{0}$ (left panel) and the first moment $M_{1}$ (right panel) between MPM, MOM, HMOM and the stochastic method under pure coagulation.
where the growth kernel $K_{\mathrm{G}}=20 \mathrm{~s}^{-1}$, and $\delta$ is the change in particle mass after a growth process and is assumed to be 1 . The moment source term can be expressed as:

$$
W_{k}(M)= \begin{cases}0, & k=0,  \tag{16}\\ K_{\mathrm{G}} \sum_{r=1}^{k}\binom{k}{r} \delta^{r} M_{k-r}, & k=1, \ldots, 2 N_{\mathrm{p}}-2 .\end{cases}
$$

Again, the log-normal distribution in Eq. (12) is supplied as the initial condition. Figure 7 shows the evolution of the PSD computed by solving the master equation under pure growth. The PSD shifts towards larger particle masses; however, the distribution widens and the peak decreases in magnitude consistent with a growth process. The simulation results using MPM is similar to that of pure coagulation. $\alpha_{j}(j=2,3,4)$ increase as shown in Fig. 8 and the mean quantities computed using MPM are in agreement with MOM, HMOM and the stochastic method as shown in Fig. 9. The total particle number remains unchanged while a linear increase in the total particle mass is observed.

### 3.4 Pure shrinkage

Shrinkage is the opposite of growth but with an important difference: when particles of the smallest mass shrink they are removed from the system which leads to a decrease in the total particle number. Here we consider the source term for shrinkage of the form:

$$
\begin{equation*}
S(i, t)=K_{\mathrm{Sk}}\left(N_{i+\delta}-N_{i}\right), \tag{17}
\end{equation*}
$$

where the shrinkage kernel $K_{\mathrm{Sk}}=30 \mathrm{~s}^{-1}$ and $\delta$ is the change in particle mass after a shrinkage process and is assumed to be 1 . The moment source term for shrinkage can


Figure 7: Evolution of the PSD computed by solving the master equation under pure growth.


Figure 8: Evolution of the particle masses $\alpha_{j}$ (left panel) and the corresponding number of particles $\widetilde{N}_{\alpha_{j}}$ (right panel) using MPM under pure growth. The PSD at $t=0$ s in Fig. 7 (continuous line) is supplied as the initial condition. A total of four particle masses are used to approximate the PSD.
then be expressed as:

$$
S_{k}\left(M, N_{1}\right)= \begin{cases}-K_{\mathrm{Sk}} N_{1}, & k=0,  \tag{18}\\ K_{\mathrm{Sk}} \sum_{r=1}^{k}\binom{k}{r}(-\delta)^{r} M_{k-r}, & k=1, \ldots, 2 N_{\mathrm{p}}-2\end{cases}
$$



Figure 9: Comparison of the zeroth moment $M_{0}$ (left panel) and the first moment $M_{1}$ (right panel) between MPM, MOM, HMOM and the stochastic method under pure growth.

It can be seen that the zeroth order shrinkage moment source term, $S_{0}$, is dependent on the number of particles of the smallest mass, $N_{1}$. To obtain closure of Eq. (18), $N_{1}$ has to be determined. In MPM, we fix the first particle mass, $\alpha_{1}$, to be equal to the smallest mass so that the corresponding number of particles, $\widetilde{N}_{\alpha_{1}}$, can be used as an approximation of $N_{1}$ of the true PSD.
So far we have looked at the performance of MPM for the individual processes of inception, growth and coagulation where only a log-normal distribution is supplied as the initial condition. Since the focus of this paper is on the development of a method which is able to handle shrinkage, a more rigorous investigation is warranted. Four different types of PSDs are supplied as the initial condition and for each case the number of particles masses, $N_{\mathrm{p}}$, is varied.

Case 1 A log-normal distribution which we have seen before but we repeat here for ease of reference:

$$
N(i, t=0)=100 \exp \left(-(\log (i)-\log (25))^{2} / 0.05\right), \quad i=1,2, \ldots, 100
$$

Case 2 A unimodal distribution:

$$
\begin{equation*}
N(i=30, t=0)=100 . \tag{19}
\end{equation*}
$$

Case 3 A parabolic distribution:

$$
\begin{equation*}
N(i, t=0)=300 i-10 i^{2}, \quad i=1,2, \ldots, 30 . \tag{20}
\end{equation*}
$$

Case 4 A uniform distribution:

$$
\begin{equation*}
N(i, t=0)=10, \quad i=1,2, \ldots, 30 . \tag{21}
\end{equation*}
$$

To determine the error in the moments computed using MPM the following relative error metric is used:

$$
\begin{equation*}
M_{k, \text { error }}=\frac{\left|\widetilde{M}_{k}-M_{k}\right|}{M_{k}+\eta} \tag{22}
\end{equation*}
$$

where $\widetilde{M}_{k}$ is the $k$-th order moment calculated using MPM while $M_{k}$ is from a highprecision stochastic solution. $\eta$ is a constant assumed to be 1 . The purpose of introducing $\eta$ is to prevent the error metric from tending towards infinity because as particles shrink and are removed from the system $M_{k}$ would tend towards zero.

For Case 1, a log-normal distribution is supplied as the initial condition. Evolution of the PSD computed by solving the master equation under pure shrinkage is shown in Fig. 10. The distribution shifts towards the smallest particle mass and at $t=2 \mathrm{~s}$ all the particles have been removed from the system.


Figure 10: Evolution of the PSD computed by solving the master equation under pure shrinkage (Case 1).

The simulation results using MPM where five particle masses ( $N_{\mathrm{p}}=5$ ) are used to approximate the PSD are shown in Fig. 11. $\alpha_{j}(j=2,3,4,5)$ move towards the smallest particle mass before flattening out as almost all the particles have been removed. Large particles shrink to form smaller ones, therefore, $\widetilde{N}_{\alpha_{j}}(j=2,3,4,5)$ decreases while $\widetilde{N}_{\alpha_{1}}$ increases. However, once the rate of removal of the smallest particles is greater than the rate of formation from large particles $\widetilde{N}_{\alpha_{1}}$ also decreases.
The relative error for moments of order $k=0$ to $8\left(N_{\mathrm{p}}=5 ; k=0, \ldots, 2 N_{\mathrm{p}}-2\right)$ using MPM is shown in Fig. 12. The errors gradually increase over time as the moments tend towards zero. However, at $t=1 \mathrm{~s}$, when almost no particles are left in the system, the errors are at most $\sim 10 \%$.

To investigate the influence of the number of particle masses, $N_{\mathrm{p}}$, on the accuracy of MPM, $N_{\mathrm{p}}$ is varied from 3 to 5 . (We see little decrease in the error for $N_{\mathrm{p}}>5$.) The zeroth and first moments computed using MPM for different $N_{\mathrm{p}}$ are compared with the stochastic solution in Fig. 13. $\widetilde{M}_{0}$ computed using MPM for $N_{\mathrm{p}}=3$ (dashed line) shows an obvious


Figure 11: Evolution of the particle masses $\alpha_{j}$ (left panel) and the corresponding number of particles $\widetilde{N}_{\alpha_{j}}$ (right panel) using MPM under pure shrinkage. The PSD at $t=0$ s in Fig. 10 (continuous line) is supplied as the initial condition (Case 1). A total of five particle masses are used to approximate the PSD.


Figure 12: Error in the $k$-th order moment using MPM relative to a high-precision stochastic solution under pure shrinkage. Errors correspond to Case 1 where a log-normal distribution is supplied as the initial condition.
discrepancy with $M_{0}$ computed using the stochastic method (continuous line). By contrast, the results obtained using $N_{\mathrm{p}}=4$ and 5 show a good agreement with the stochastic solution. $\widetilde{M}_{1}$ does not display any sensitivity to $N_{\mathrm{p}}$. The time-averaged ( $t=0$ to 1.5 s ) relative moment error, $M_{k, \text { error }}$, is shown in Table 1 as a function of $N_{\mathrm{p}}$ and $k$. A higher accuracy is observed when larger values of $N_{\mathrm{p}}$ are used; the errors show about an order of magnitude decrease when $N_{\mathrm{p}}$ is increased from 3 to 5 . As more particle masses are used,
the approximation made on the pointwise value of the $\operatorname{PSD}\left(\widetilde{N}_{\alpha_{1}} \cong N_{1}\right)$ is closer to the real value. However, the higher-order moments tend to exhibit a larger error than lowerorder moments. As can be seen in Fig. 12, errors in the higher-order moments are initially small; however, as the simulation proceeds, the moments tend towards zero making the relative errors large. Nevertheless, these errors decrease significantly with an increase $N_{\mathrm{p}}$. For example, $M_{4, \text { error }}$ decreases from 0.3088 to 0.2053 when $N_{\mathrm{p}}$ is increased from 3 to 4, and $M_{6, \text { error }}$ decreases from 0.3515 to 0.2522 when $N_{\mathrm{p}}$ is increased from 4 to 5 .


Figure 13: Sensitivity of the zeroth moment $M_{0}$ (left panel) and the first moment $M_{1}$ (right panel) to the number of particle masses, $N_{p}$, using MPM under pure shrinkage. Results correspond to Case 1 where a log-normal distribution is supplied as the initial condition. The stochastic solution is shown as a point of reference.

Table 1: Average error in the $k$-th order moment using MPM relative to a high-precision stochastic solution, for different number of particle masses, $N_{p}$, under pure shrinkage. Errors correspond to Case 1 where a log-normal distribution is supplied as the initial condition.

| k | $N_{\mathrm{p}}=3$ | $N_{\mathrm{p}}=4$ | $N_{\mathrm{p}}=5$ |
| :--- | :---: | :--- | :--- |
| 0 | 0.0912 | 0.0304 | 0.0104 |
| 1 | 0.1179 | 0.0399 | 0.0103 |
| 2 | 0.1711 | 0.0793 | 0.0201 |
| 3 | 0.2362 | 0.1393 | 0.0548 |
| 4 | 0.3088 | 0.2053 | 0.1123 |
| 5 | - | 0.2767 | 0.1802 |
| 6 | - | 0.3515 | 0.2522 |
| 7 | - | - | 0.3269 |
| 8 | - | - | 0.4041 |

The ability of different methods to handle shrinkage can be seen in Fig. 14. MOM does not account for the consumption of particles due to shrinkage therefore $\widetilde{M}_{0}$ remains con-
stant; however, the behaviour of $\widetilde{M}_{1}$ is somewhat more reasonable. $\widetilde{M}_{1}$ is set to be equal to $\widetilde{M}_{0}$ whenever $\widetilde{M}_{1}$ falls below $\widetilde{M}_{0}$ to ensure that the moments are strictly monotonic. HMOM performs much better as it includes a source term to account for the consumption of the smallest particles. As large particles shrink to eventually form the smallest particles, it was assumed that the number of the smallest particles formed from the large particles is proportional to the mass lost from the large particles [30] (see Appendix B). This assumption is too coarse. Initially, the mass of large particles can decrease without there being a change in the number of particles. HMOM overestimates the number of the smallest particles, and therefore $M_{0}$. However, small particles are easier to remove; therefore, the trend reverses and HMOM underestimates $M_{0}$ (and $M_{1}$ ). By contrast, the moments computed using MPM for $N_{\mathrm{p}}=4$ shows an excellent agreement with the stochastic solution.


Figure 14: Comparison of the zeroth moment $M_{0}$ (left panel) and the first moment $M_{1}$ (right panel) between MPM (four particle masses), MOM, HMOM and the stochastic method under pure shrinkage. Results correspond to Case 1 where a log-normal distribution is supplied as the initial condition.

The results for Case 2 where a unimodal distribution is supplied as the initial condition are similar to Case 1 and are shown in Fig. 15 and Table 2. For Case 3, a parabolic distribution is supplied as the initial condition. Figure 16 shows that $\widetilde{M}_{0}$ computed using MPM for $N_{\mathrm{p}}=3$ shows a poor agreement with the stochastic solution. Even if $N_{\mathrm{p}}$ is increased to 4, a slight discrepancy can still be observed. A satisfactory agreement is obtained when $N_{\mathrm{p}}$ is increased to 5 . The conclusions drawn from the corresponding average relative error in Table 3 are similar to those for previous cases. For Case 4, a uniform distribution is supplied as the initial condition. The results are similar to those for Case 3 and are shown in Fig. 17 and Table 4.
Based on the four cases considered above, we conclude that MPM is able to simulate the shrinkage of different types of PSDs as long as a sufficient number of particle masses are used. $N_{p}=4$ is a good compromise between accuracy and computational efficiency.


Figure 15: Sensitivity of the zeroth moment $M_{0}$ (left panel) and the first moment $M_{1}$ (right panel) to the number of particle masses, $N_{p}$, using MPM under pure shrinkage. Results correspond to Case 2 where a unimodal distribution is supplied as the initial condition. The stochastic solution is shown as a point of reference.

Table 2: Average error in the $k$-th order moment using MPM relative to a high-precision stochastic solution, for different number of particle masses, $N_{p}$, under pure shrinkage. Errors correspond to Case 2 where a unimodal distribution is supplied as the initial condition.

| k | $N_{\mathrm{p}}=3$ | $N_{\mathrm{p}}=4$ | $N_{\mathrm{p}}=5$ |
| :--- | :---: | :--- | :--- |
| 0 | 0.0256 | 0.0053 | 0.0009 |
| 1 | 0.0366 | 0.0057 | 0.0008 |
| 2 | 0.0701 | 0.0143 | 0.0014 |
| 3 | 0.1158 | 0.0381 | 0.0049 |
| 4 | 0.1667 | 0.0756 | 0.0170 |
| 5 | - | 0.1206 | 0.0408 |
| 6 | - | 0.1689 | 0.0749 |
| 7 | - | - | 0.1163 |
| 8 | - | - | 0.1615 |

### 3.5 Combined processes

We looked at the processes of inception, coagulation, growth and shrinkage in isolation. Now we test MPM against MOM, HMOM and the stochastic method for all of these processes combined. Two types of PSDs are supplied as the initial condition and the shrinkage kernel is varied to simulate relatively weak (Case 6) and strong (Case 7) shrinkage:

Case 5 Inception kernel $I_{m_{1}}=100 \mathrm{~s}^{-1}$, growth kernel $K_{\mathrm{G}}=20 \mathrm{~s}^{-1}$, coagulation kernel $K_{\mathrm{Cg}}=2 \times 10^{-4} \mathrm{~s}^{-1}$ and shrinkage kernel $K_{\mathrm{Sk}}=30 \mathrm{~s}^{-1}$ with a log-normal distribution as



Figure 16: Sensitivity of the zeroth moment $M_{0}$ (left panel) and the first moment $M_{1}$ (right panel) to the number of particle masses, $N_{p}$, using MPM under pure shrinkage. Results correspond to Case 3 where a parabolic distribution is supplied as the initial condition. The stochastic solution is shown as a point of reference.

Table 3: Average error in the $k$-th order moment using MPM relative to a high-precision stochastic solution, for different number of particle masses, $N_{p}$, under pure shrinkage. Errors correspond to Case 3 where a parabolic distribution is supplied as the initial condition.

| k | $N_{\mathrm{p}}=3$ | $N_{\mathrm{p}}=4$ | $N_{\mathrm{p}}=5$ |
| :--- | :---: | :--- | :--- |
| 0 | 0.1456 | 0.0512 | 0.0088 |
| 1 | 0.1605 | 0.0665 | 0.0126 |
| 2 | 0.1965 | 0.0981 | 0.0261 |
| 3 | 0.2413 | 0.1383 | 0.0501 |
| 4 | 0.2912 | 0.1827 | 0.0832 |
| 5 | - | 0.2294 | 0.1226 |
| 6 | - | 0.2775 | 0.1659 |
| 7 | - | - | 0.2113 |
| 8 | - | - | 0.2577 |

the initial condition (see Eq. (12)):

$$
N(i, t=0)=100 \exp \left(-(\log (i)-\log (25))^{2} / 0.05\right), \quad i=1,2, \ldots, 100
$$

Case $6 I_{m_{1}}=100 \mathrm{~s}^{-1}, K_{\mathrm{G}}=20 \mathrm{~s}^{-1}, K_{\mathrm{Cg}}=2 \times 10^{-4} \mathrm{~s}^{-1}$ and $K_{\mathrm{Sk}}=22 \mathrm{~s}^{-1}$ with a unimodal distribution as the initial condition (see Eq. (19)):

$$
N(i=30, t=0)=100 .
$$



Figure 17: Sensitivity of the zeroth moment $M_{0}$ (left panel) and the first moment $M_{1}$ (right panel) to the number of particle masses, $N_{p}$, using MPM under pure shrinkage. Results correspond to Case 4 where a uniform distribution is supplied as the initial condition. The stochastic solution is shown as a point of reference.

Table 4: Average error in the $k$-th order moment using MPM relative to a high-precision stochastic solution, for different number of particle masses, $N_{p}$, under pure shrinkage. Errors correspond to Case 4 where a uniform distribution is supplied as the initial condition

| k | $N_{\mathrm{p}}=3$ | $N_{\mathrm{p}}=4$ | $N_{\mathrm{p}}=5$ |
| :--- | :---: | :--- | :--- |
| 0 | 0.0642 | 0.0156 | 0.0036 |
| 1 | 0.0795 | 0.0168 | 0.0023 |
| 2 | 0.1218 | 0.0369 | 0.0046 |
| 3 | 0.1735 | 0.0734 | 0.0148 |
| 4 | 0.2294 | 0.1192 | 0.0368 |
| 5 | - | 0.1689 | 0.0699 |
| 6 | - | 0.2203 | 0.1109 |
| 7 | - | - | 0.1565 |
| 8 | - | - | 0.2043 |

Case $7 I_{m_{1}}=100 \mathrm{~s}^{-1}, K_{\mathrm{G}}=20 \mathrm{~s}^{-1}, K_{\mathrm{Cg}}=2 \times 10^{-4} \mathrm{~s}^{-1}$ and $K_{\mathrm{Sk}}=30 \mathrm{~s}^{-1}$ with a unimodal distribution as the initial condition (see Eq. (19)):

$$
N(i=30, t=0)=100 .
$$

For Case 5, the shrinkage kernel is larger than the growth kernel, therefore, there is a net shrinkage of particles and the PSD shifts towards the smallest particle mass as shown in Fig. 18. By the end of simulation $(t=10 \mathrm{~s})$, no particles are left in the system. MOM predicts a slight decrease in $\widetilde{M}_{0}$ as shown in Fig. 19 due to the interplay between inception and coagulation. $\widetilde{M}_{1}$ computed using MOM decreases much faster than the stochastic
solution. As we saw in the Section 3.4, $\widetilde{M}_{1}$ would eventually fall below $\widetilde{M}_{0}$ under pure shrinkage as the MOM formulation does not include a source term to account for the consumption of particles due to shrinkage. To maintain the monotonicity of moments, from about $t=2.5 \mathrm{~s}$ onwards, $\widetilde{M}_{1}$ is set to be equal to $\widetilde{M}_{0}$. HMOM reproduces the decreasing trend in $M_{0}$ and $M_{1}$, however, there is an obvious discrepancy compared with the stochastic solution. By contrast, $\widetilde{M}_{0}$ and $\widetilde{M}_{1}$ obtained using MPM for $N_{\mathrm{p}}=4$ is in a much better agreement with the stochastic solution compared with MOM and HMOM.


Figure 18: Evolution of the PSD computed using the stochastic method under all particle processes (Case 5).

For Case 6, a unimodal distribution where its mode is located at a mass of 30 evolves into a bimodal distribution under the combined effects of inception, coagulation, growth and shrinkage as shown in Fig. 20. There is only a slight shift in the position of the second mode of the distribution because the shrinkage kernel is only slightly larger than the growth kernel. As shown in Fig. 21, $\widetilde{M}_{0}$ and $\widetilde{M}_{1}$ computed using MPM show a good agreement with the stochastic solution while MOM and HMOM fail to even match. The performance of MOM and HMOM is similar to Case 5 except that MOM predicts a nonlinear increase in $\widetilde{M}_{0}$. This shows that while inception is dominant, nonlinear effects from coagulation is significant.
For Case 7, the shrinkage kernel, $K_{\mathrm{Sk}}$, is increased to $30 \mathrm{~s}^{-1}$ while the inception, coagulation and growth kernels are the same as in Case 6. A bimodal distribution is again observed in Fig. 22. This time however the PSD shifts towards smaller particle masses at a much faster speed within the same period of time, simulating a situation with a strong particle shrinkage. Comparison of $M_{0}$ and $M_{1}$ between the different methods are similar to Case 6.


Figure 19: Comparison of the zeroth moment $M_{0}$ (left panel) and the first moment $M_{1}$ (right panel) between MPM, MOM, HMOM and the stochastic method under all particle processes. Results correspond to Case 5 where a log-normal distribution is supplied as the initial condition.


Figure 20: Evolution of the PSD computed using the stochastic method under all particle processes but with relatively weak shrinkage (Case 6).

## 4 Conclusion

A new moment projection method (MPM) for solving the population balance equation (PBE) has been developed and presented. The main advantages of this method are its ease of implementation and numerical robustness as well as its ability to deal with particle shrinkage. It directly solves the moment transport equation for the moments so that


Figure 21: Comparison of the zeroth moment $M_{0}$ (left panel) and the first moment $M_{1}$ (right panel) between MPM, MOM, HMOM and the stochastic method under all particle processes. Results correspond to Case 6 where a unimodal distribution is supplied as the initial condition and shrinkage is relatively weak.


Figure 22: Evolution of the PSD computed using the stochastic method under all particle processes but with relatively strong shrinkage (Case 7).
the source terms can be readily evaluated using the method of moments with interpolative closure (MOMIC). A set of particle masses are used to approximate the discrete-mass distribution where one of the particle masses is fixed at the smallest particle. The algorithm by Blumstein and Wheeler is used to track the number of these particles which eliminates the need for matrix inversion which can lead to singularity problems. The new method is compared with the method of moments (MOM) and the hybrid method of


Figure 23: Comparison of the zeroth moment $M_{0}$ (left panel) and the first moment $M_{1}$ (right panel) between MPM, MOM, HMOM and the stochastic method under all particle processes. Results correspond to Case 7 where a unimodal distribution is supplied as the initial condition and shrinkage is relatively strong.
moments (HMOM), first for the individual processes of particle inception, coagulation, growth and shrinkage (constant kernels), then for all of these processes combined; different types of particles size distributions (PSDs) are supplied as an initial condition. It is shown that MPM is just as accurate as MOM and HMOM when used to treat inception, coagulation and growth. However, when it comes to shrinkage, MPM performs much better than MOM and HMOM. The accuracy of MPM improves with the number of particle masses, $N_{\mathrm{p}}$, and $N_{\mathrm{p}}=5$ is found to provide an excellent agreement with a high-precision stochastic solution calculated using the direct simulation algorithm (DSA). Higher-order moments computed using MPM show larger relative errors than lower-order moments consistent with other moment methods. These errors gradually increase with time because the moments tend towards zero. Future work includes applying MPM to breakage problems and incorporating more realistic rate laws for coagulation, i.e., Brownian kernel.

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## Nomenclature

## Upper-case Roman

$G$ Source term due to coagulation

I Inception rate
$K_{\text {Cg }} \quad$ Coagulation kernel
$K_{\mathrm{G}} \quad$ Growth kernel
$K_{\text {Sk }} \quad$ Shrinkage kernel
$M$ Moment
$N$ Number
P Symmetric tridiagonal matrix which is a function of recursion coefficients $a$ and $b$
$R$ Source term due to inception
$S$ Source term due to shrinkage
$W$ Source term due to growth
Z Matrix with components $Z$ which are a function of the moments $M$

## Lower-case Roman

$a, b$ Recursion coefficients
$h$ Time interval
$i$ Abscissa of delta function
$m$ Mass
$r$ Recursive function
$t$ Time
$v$ Eigenvector of matrix $\mathbf{P}$
$w$ Weight of delta function

## Greek

$\alpha$ Particle mass
$\eta$ User defined constant in relative moment error
$\delta$ Particle mass change in a growth or shrinkage process

## Subscripts

$\alpha$ Particle mass
f Final
L Large
p Particle
0 Initial or smallest

## Symbols

$\widetilde{x} \quad$ Approximation of $x$

## Abbreviations

DQMOM Direct quadrature method of moments
DSA Direct simulation algorithm
EQMOM Extended quadrature method of moments
FCMOM Finite-size domain complete set of trial functions method of moments
HMOM Hybrid method of moments
MOM Method of moments
MOMIC Method of moments with interpolative closure
MPM Moment projection method
ODE Ordinary differential equation
PBE Population balance equation
PD Product difference
PSD Particle size distribution
QMOM Quadrature method of moments

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## A Blumstein-Wheeler algorithm

This algorithm is used to determine the particle masses and the numbers used to approximate the PSD from the empirical moments. The algorithm is implemented in Matlab and makes use of the eig function to determine the eigenvalues and eigenvectors.

Input: The empirical moments $\widetilde{M}_{k}$ for $k=0,1, \ldots, 2 N_{\mathrm{p}}-2$.
Output: The particle masses $\alpha_{j}$ and the corresponding number of particles $\widetilde{N}_{\alpha_{j}}$ for $j=1,2, \ldots, N_{\mathrm{p}}$.
Create a $N_{\mathrm{p}} \times 2 N_{\mathrm{p}}$ matrix $\mathbf{Z}$ with zeros in all elements.
Determine the elements of the first row of matrix $\mathbf{Z}: Z_{1, l}=\widetilde{M}_{l-1}$ for $l=1, \ldots, 2 N_{\mathrm{p}}-1$.
For $a_{1}=\widetilde{M}_{1} / \widetilde{M}_{0}$ and $b_{1}=0$, determine the recursion coefficients $a_{k}$ and $b_{k}$ :
for $k=2$ to $N_{p}$ do
for $l=k$ to $2 N_{p}-1$ do
The elements of $\mathbf{Z}$ must satisfy the following recursion relation:

$$
Z_{k, l}=Z_{k-1, l+1}-a_{k-1} Z_{k-1, l}-b_{k-1} Z_{k-1, l}
$$

end

$$
a_{k}=\frac{Z_{k, k+1}}{Z_{k, k}}-\frac{Z_{k-1, k}}{Z_{k-1, k-1}} ; \quad b_{k}=\frac{Z_{k, k}}{Z_{k-1, k-1}} .
$$

end
For $r_{1}=1 /\left(m_{1}-a_{1}\right)$ where $m_{1}$ is the smallest particle mass, determine the recursion function:

$$
r_{k}=1 /\left(m_{1}-a_{k}-b_{k} r_{k-1}\right) \quad k=2, \ldots, N_{\mathrm{p}}-1 .
$$

As we fix the smallest particle mass, replace $a_{N_{\mathrm{p}}}$ with:

$$
a_{N_{\mathrm{p}}}=m_{1}-b_{N_{\mathrm{p}}} r_{N_{\mathrm{p}}-1} .
$$

Construct a symmetric tridiagonal matrix $\mathbf{P}$ with $a_{k}$ as the diagonal and the square roots of $b_{k}$ as the co-diagonal:

$$
\mathbf{P}=\left[\begin{array}{ccccc}
a_{1} & -\sqrt{b_{2}} & 0 & \cdots & 0 \\
-\sqrt{b_{2}} & a_{2} & -\sqrt{b_{3}} & \cdots & 0 \\
0 & -\sqrt{b_{3}} & a_{3} & \cdots & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots \\
0 & 0 & 0 & \cdots & a_{N_{p}}
\end{array}\right] .
$$

Solve for the eigenvalues $\mathbf{V}$ and eigenvectors $\mathbf{D}$ of matrix $\mathbf{P}$ :

$$
[\mathbf{V}, \mathbf{D}]=\operatorname{eig}(\mathbf{P})
$$

Solve for $\alpha_{j}$ and $\tilde{N}_{\alpha_{j}}$ :

$$
\alpha_{j}=\mathbf{V}(j, j), \quad \widetilde{N}_{\alpha_{j}}=\widetilde{M}_{0} \mathbf{D}(1, j)^{2}
$$

Algorithm 2: Blumstein-Wheeler algorithm.

## B Hybrid method of moments

HMOM was originally developed for bivariate PBEs based on particle volume and surface area $[29,30]$. Here we revise the method to be based on particle mass and we focus on the shrinkage process. Particles are discretised into two modes: particles of the smallest mass $i_{0}$ and particles of large mass $i_{\mathrm{L}}[29,30]$. Based on this concept, the $k$-th order moment is:

$$
\begin{equation*}
M_{k}=N_{i_{0}} i_{0}^{k}+N_{i_{\mathrm{L}}} i_{\mathrm{L}}^{k} \tag{B.1}
\end{equation*}
$$

where $N_{i_{0}}$ and $N_{i_{\mathrm{L}}}$ are the number of particles of mass $i_{0}$ and $i_{\mathrm{L}}$, respectively. Combining Eqs. (2) and (17), we get:

$$
\begin{equation*}
\frac{\mathrm{d} M_{k}}{\mathrm{~d} t}=-K_{\mathrm{Sk}} i_{0}^{k} N_{i_{0}}+K_{\mathrm{Sk}} \sum_{i=i_{0}+\delta}^{\infty}\left((i-\delta)^{k}-i^{k}\right) N_{i}, \tag{B.2}
\end{equation*}
$$

where $K_{\mathrm{Sk}}$ is the shrinkage kernel and $\delta$ is the change in mass after a shrinkage process. The first term corresponds to the removal of the smallest particles when they shrink and the second term corresponds to the formation of the smallest particles when large particles shrink. Combining Eqs. (B.1) and (B.2):

$$
\frac{\mathrm{d} M_{k}}{\mathrm{~d} t}= \begin{cases}-K_{\mathrm{Sk}} N_{i_{0}} & k=0  \tag{B.3}\\ K_{\mathrm{Sk}} \sum_{r=1}^{k}\binom{k}{r}(-\delta)^{r}\left(i_{0}^{k-r} N_{i_{0}}+i_{\mathrm{L}}^{k-r} N_{i_{\mathrm{L}}}\right), & k>0\end{cases}
$$

The source term for $N_{i_{0}}$ is given by [30]:

$$
\begin{equation*}
\frac{\mathrm{d} N_{i_{0}}}{\mathrm{~d} t}=\lim _{k \rightarrow-\infty} \frac{\mathrm{d} M_{k} / \mathrm{d} t}{i_{0}^{k}} \tag{B.4}
\end{equation*}
$$

Applying Eq. (B.4) to Eq. (B.2) we get:

$$
\begin{equation*}
\frac{\mathrm{d} N_{i_{0}}}{\mathrm{~d} t}=-K_{\mathrm{Sk}} N_{i_{0}}+K_{\mathrm{Sk}} N_{i_{0}+\delta} \tag{B.5}
\end{equation*}
$$

The first term is the destruction of the smallest particles and the second term corresponds to the intermodal transfer of particles from the second mode to the first during a shrinkage process. To close this latter term, Mueller et al. [29] assumed that the number of particles transferred from the large particles to the smallest particles is proportional to the total mass lost from the large particles with a coefficient, $C$, equal to the mass ratio between the two modes $i_{0} / i_{\mathrm{L}}$ :

$$
\begin{equation*}
N_{i_{0}+\delta}=C \delta M_{-1}^{\mathrm{L}}=\frac{i_{0} \delta}{i_{\mathrm{L}}^{2}} N_{i_{\mathrm{L}}}, \tag{B.6}
\end{equation*}
$$

where the superscript $L$ refers to the contribution to the moment from the second mode. Combining Eqs. (B.5) and (B.6):

$$
\begin{equation*}
\frac{\mathrm{d} N_{i_{0}}}{\mathrm{~d} t}=-K_{\mathrm{Sk}} N_{i_{0}}+\frac{i_{0} \delta}{i_{\mathrm{L}}^{2}} K_{\mathrm{Sk}} N_{i_{\mathrm{L}}} \tag{B.7}
\end{equation*}
$$

The remaining two quantities in Eq. (B.3) are obtained from the two known moments [29]:

$$
\begin{equation*}
N_{i_{\mathrm{L}}}=M_{0}-N_{i_{0}}, \tag{B.8}
\end{equation*}
$$

and

$$
\begin{equation*}
i_{\mathrm{L}}=\frac{M_{1}-N_{i_{0}} i_{0}}{N_{i_{\mathrm{L}}}} . \tag{B.9}
\end{equation*}
$$

Algorithm 3 describes the numerical procedure of HMOM for the shrinkage process. The HMOM approach for other processes (inception, coagulation and growth) can be obtained in a similar way, but the details are not given here for simplicity.

Input: PSD supplied as initial condition $N\left(i, t_{0}\right)$ for $i=1, \ldots, \infty$ at initial time $t_{0}$; final time $t_{\mathrm{f}}$.
Output: Empirical moments of the $\operatorname{PSD} \widetilde{M}_{k}\left(t_{\mathrm{f}}\right)$ for $k=0,1, \ldots$ at final time $t_{\mathrm{f}}$.
Calculate the moments of the true PSD using Eq. 2:

$$
M_{k}\left(t_{0}\right)=\sum_{i=1}^{\infty} i^{k} N\left(i, t_{0}\right), \quad k=0, \ldots, 2 N_{\mathrm{p}}-2 .
$$

Determine the number and mass of the large particles $N_{i_{\mathbf{L}}}\left(t_{0}\right)$ and $i_{\mathbf{L}}\left(t_{0}\right)$, respectively, by solving Eqs. (B.8) and (B.9).

```
\(t \longleftarrow t_{0}, \widetilde{M}_{k}(t) \longleftarrow \widetilde{M}_{k}\left(t_{0}\right) ;\)
while \(t<t_{\mathrm{f}}\) do
```

Integrate Eq. (B.3) for the moments $\widetilde{M}_{k}(t+h)$ over the time interval $[t, t+h]$ (using an ODE solver) with $N_{i_{0}}(t), N_{i_{\mathrm{L}}}(t)$ and $i_{\mathrm{L}}(t)$ as the initial condition.

Integrate Eq. (B.7) for the number of smallest particles $\widetilde{N}_{i_{0}}(t+h)$ over the time interval $[t, t+h]$ with $N_{i_{0}}(t), N_{i_{\mathrm{L}}}(t)$ and $i_{\mathrm{L}}(t)$ as the initial condition.

Determine $N_{i_{\mathrm{L}}}(t+h)$ using Eq. (B.8) with the obtained $M_{0}(t+h)$ and $N_{i_{0}}(t+h)$.
Determine $i_{\mathrm{L}}(t+h)$ using Eq. (B.9) with the obtained $M_{1}(t+h), N_{i_{0}}(t+h)$ and $N_{i_{\mathrm{L}}}(t+h)$.

Increment $t \longleftarrow t+h$.
end
Algorithm 3: Hybrid method of moments algorithm.

